Goldman Sachs Asset Management

GOLDMAN SACHS MUTUAL FUND
SIP/VIP AUTO DEBIT (NACH) FORM
FOR GOLDMAN SACHS OPEN ENDED EQUITY SCHEMES

Application No.

To be accompanied with Application Form for new registration

Please read the common instructions and SIP/VIP Instructions before completing this Form.

Broker/Distributor Name*:	ARN:	Sub-Broker Name & Code	Registrar Serial No.
Employee Name & EUIN:			

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

*If not routed through a broker/Distributor, will be captured as DIRECT

Upfront commission shall be paid directly by the Investor to the Distributor/broker based on the Investors' assessment of various factors including the service rendered by the Distributor / broker

SIV/VIP Through NACH

1. TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Please tick (✓) any one)

☐ **I confirm that I am a first time Investor across mutual funds.**
(₹ 150 deductible as transaction charge and payable to the Distributor)

☐ **I confirm that I am an existing Investor in mutual funds.**
(₹ 100 deductible as transaction charge and payable to the Distributor)

Applicable for transaction routed through an empanelled Distributor who has opted in to receive transaction charges

2. APPLICANT S INFORMATION

I/We hereby apply to the Goldman Sachs Mutual Fund for a Systematic Investment Plan (SIP)/Value Averaging Investment Plan (VIP) through NACH Auto Debit under the following Scheme and agree to abide by the terms, conditions, rules and regulations of the SIP/VIP.

Folio No. for existing Investor

(Please attach attested PAN copy and KYC Acknowledgement Letter# of all Applicants / POA holders / Guardian, as applicable, if not submitted earlier)

Name of First / Sole Applicant / Non-Individual Investor

Guardian Name (in case 1st / sole applicant is a minor)

#Please submit the duly filled KYC Application Form and required documents for all Applicants/ POA holders/ Guardian (as applicable) who are not KYC compliant.

3. SIP/VIP DETAILS

Scheme: (Please mention the scheme name you are investing in)

Plan: ☐ Direct Plan ☐ Distributor Plan

Option: ☐ Growth ☐ Dividend

Default Option: Growth

For Dividend Option: ☐ Payout ☐ Reinvestment

Default Dividend Option: Dividend Reinvestment

SIP (Systematic Investment Plan)

Micro SIP# ☐ Yes ☐ No

SIP Date From: M M Y Y Y Y SIP Date To: M M Y Y Y Y

*Each SIP amount ₹

Preferred monthly investment date ☐ 1st ☐ 15th (Default SIP Date 15th)

(Minimum number of installments including first instrument should be 12.

First SIP debit will be at least 30 days after the date of allotment)

**Minimum installment should be ₹1000/- and in multiples of ₹1/- thereafter. All debits will be similar to the first instrument issued.*

VIP (Value averaging Investment Plan)

Micro VIP# ☐ Yes ☐ No

VIP Date From: M M Y Y Y VIP Date To (maximum up to 12 yrs): M M Y Y Y Y

*Nominal amount ₹ (First VIP installment should be for nominal amount)

Maximum debit amount ₹ (should be higher than nominal amount)

Preferred monthly investment date ☐ 1st ☐ 15th (Default VIP Date 15th)

**Minimum installment should be ₹2000/- and in multiples of ₹1/- thereafter. VIP is only applicable for GS CNX 500.*

First VIP debit will be at least 30 days after the date of allotment. Default minimum investment will be "ZERO"

Investors who wish to opt for Micro SIP/VIP should provide the KYC Application Form and required documents along with the Application Form, if attested KYC Acknowledgment Letter is not provided.

4. CONFIRMATION AND SIGNATURE/S

I/We hereby declare that the particulars given in this form are correct and complete and express my/our willingness to (i) apply for Purchase of Units of the Scheme mentioned above, (ii) make installment payments referred above through direct debit/ participation in National Automated Clearing Home (NACH), or (iii) change details of my/our bank mandate as stated in this form, as applicable. If the transaction is delayed or not effected at all for reasons of incomplete information, I/we will not hold Goldman Sachs Mutual Fund/AMC/Trustee or any other authorities/services providers/representatives responsible. I/We further undertake that any changes in my / our bank details will be informed to the Fund immediately. I/We have read and agreed to the Terms and Conditions in the instructions to this form.

First/Sole Applicant/Guardian/POA Holder | Second Applicant/POA Holder | Third Applicant/POA Holder

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Goldman Sachs Asset Management

UMRN F o r O f f i c e U s e Date D D M M Y Y Y Y

Sponsor Bank Code For Office Use Only Utility Code

I/We, hereby authorize Goldman Sachs Mutual Fund To debit (Please ✓) SB/CA/CC/SB-NRE/SB-NRO/Other

(Please ✓)

CREATE
MODIFY
CANCEL

Bank a/c number

with Bank Applicant's Bank Name IFSC or MICR

an amount of Rupees In words ₹ In figures

FREQUENCY ☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☑ As & when presented DEBIT TYPE : ☒ Fixed Amount ☑ Maximum Amount

Folio No. Mobile No.

Reference 2 Email ID

PERIOD

From

To

Or ☐ Until cancelled

Signature of 1st Applicant | Signature of 2nd Applicant | Signature of 3rd Applicant

Name as in Bank records | Name as in Bank records | Name as in Bank records

This is to confirm that the declaration has been carefully read, understood & made by me/us.

ACKNOWLEDGMENT SLIP FOR SIP/VIP THROUGH NACH (To be filled in by the Investor) Application No.

Goldman Sachs Asset Management

Date D D M M Y Y Y Y Name of Sole/First Account Holder

Investment Details: Goldman Sachs Fund

Option: ☐ Growth ☐ Dividend : Dividend Option: ☐ Payout ☐ Reinvestment

SIP/VIP Amount ₹ Frequency : Monthly

SIP/VIP from M M Y Y Y Y to M M Y Y Y Y Date SIP/VIP Date ☐ 1st or ☐ 15th

Acknowledgement Stamp